

CUSTOMER FEE SCHEDULE

SERVICE SCHEDULE	FEE AMOUNT	FEE FREQUENCY
Inactive Account Fee (no trade in 12 mos.)	\$60.00	Annual
LOA'S		
Movement Between Accounts (stocks only)	\$100.00	Per Request
STATEMENTS & CONFIRMS		
Paper Statements and Confirms	\$10.00	Per Item
Duplicate paper statement	\$10.00	Per Item
FEDERAL EXPRESS/UNITED PARCEL (EACH WAY)		
Regular	\$40.00	Per Request
2nd Day	\$25.00	Per Request
International	\$80.00	Per Request
Saturday/First Overnight	\$100.00	Per Request
ACCOUNT TRANSFERS (OUTGOING)		
Full ACATS	\$100.00	Per Request
Partial ACATS	\$75.00	Per Request
Delivery Orders (non-ACAT system member)	\$50.00	Per Request
Cleared Stock Withdrawal Fee	3.00% of value	Per Request
ACCOUNT TRANSFERS (INCOMING)		
Partial ACATS	\$225	Per Request
WITHDRAWAL BY TRANSFER (FROM DTC)		
Regular	\$1,000.00	Per Request
Rush	\$1,500.00	Per Request
Certificate Transfers	<i>Transfer fees will be passed through and vary among transfer agents (Federal Express fees may apply) plus an additional \$30.00 add-on fee to transfer agent fees</i>	
DTC deposit fee (Physical Cert)	\$100.00	Per Cert
DTC deposit fee (WILCO NAME)	\$100.00	Per Cert
DWAC	\$200.00	Per Request
DRS	\$200.00	Per Request
DRS reject fee	\$150.00	Per Request
Certificate Deposit Legal Vetting Fee		
DTC Eligible Above \$0.05	\$1050.00	Per Legal Review
DTC Eligible Below \$0.05	\$1,300.00	Per Legal Review
DTC Eligible/Rush Above \$0.05	\$1,400.00	Per Legal Review
DTC Eligible/Rush Below \$0.05	\$1,500.00	Per Legal Review
DTC Deposit rejects	\$150.00	Per deposit
Corporate Action Fee	\$30.00	Per Customer Position

CASH DISBURSEMENTS SERVICES		
Domestic Check	NONE	
International Check	NONE	Per Request
Return Check Fee	\$60.00	Per Check
Stop Check Fee	\$40.00	Per Request
WIRE FEE		
Domestic wire	\$50.00	Per Request
International wire	\$100.00	Per Request
Return wire fee	\$50.00	Per Item
Early Pay Fee (if accepted)	3% minimum \$50	Per Request
OTHER CHARGES		
Commission	4.5% on total amount of sale	Per trade
Penny Stock Fee	0.5% on total amount of sale	Per trade on sales of stock below \$5
Ticket Charge	\$30	Per trade
SEC/TAF FEES	Pass through to customer on sales	
BLUE SHEET FEE	\$25.00	Per customer position
ILLIQUID CHARGES		

The illiquid charge is calculated as follows:

$$0.20 \times \text{DTC Illiquid Requirement} \times \frac{\text{Days Until Settlement}}{360} = \text{Illiquid Charge}$$

There is a minimum of \$25.00 per CUSIP that incurs an illiquid charge